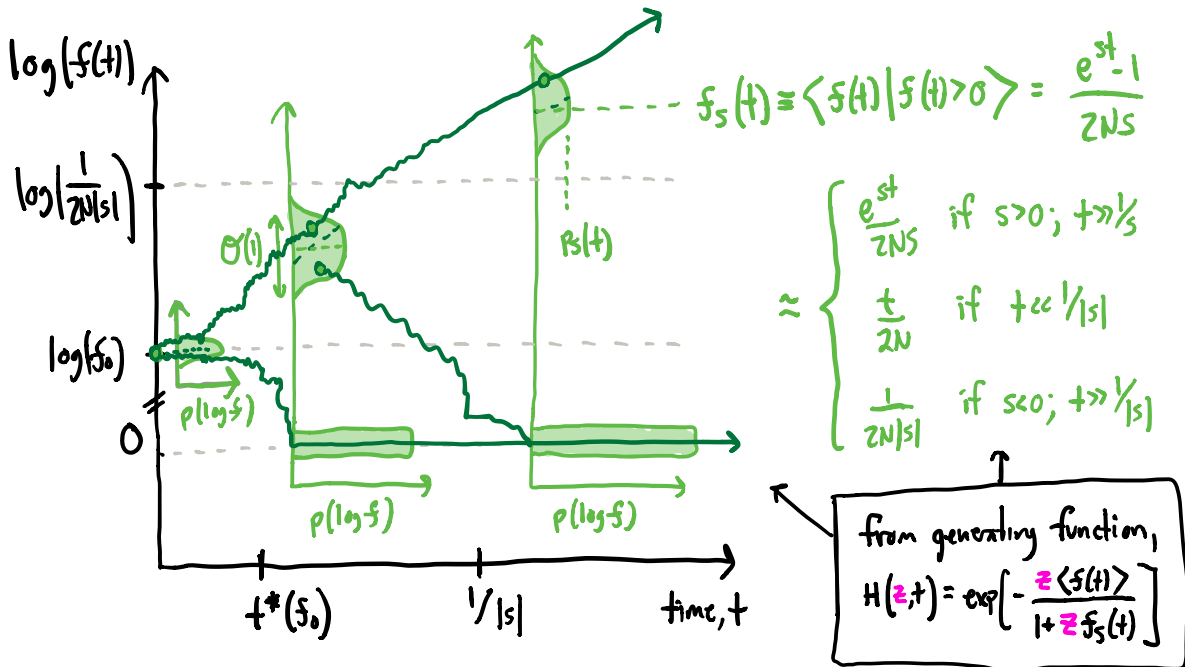
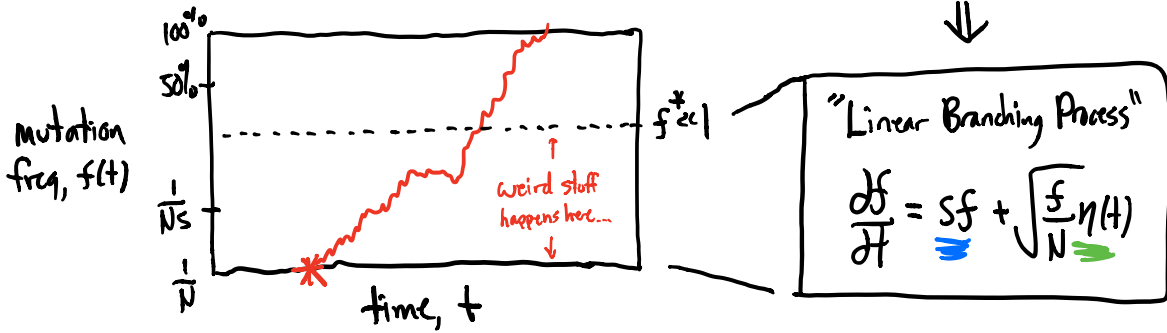
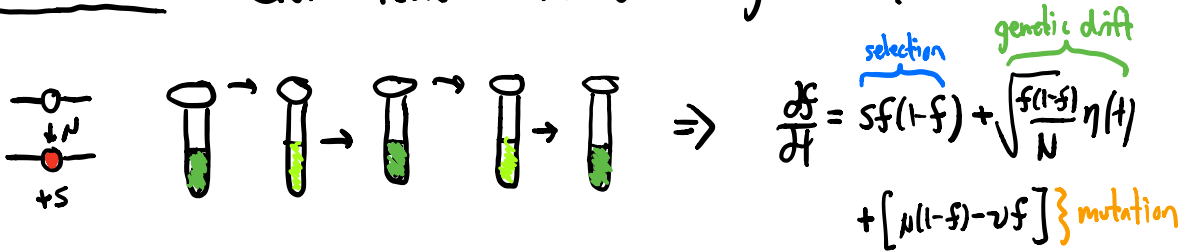


Announcements: PSET 3 Posted (DUE 2/23/21)

Last time: Quick review - how did we get here?



Today: ① Did we need all this math? ("Heuristics")

② Incorporating mutations  $\xrightarrow{\text{(Thurs)}}$  ③ sequencing!

## Heuristic approach:

⇒ may seem sloppy/arbitrary @ first...

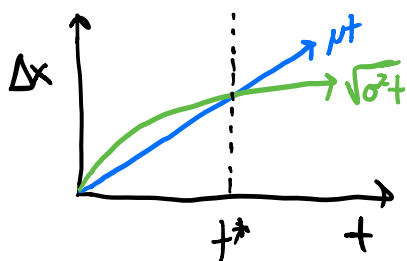
⇒ but w/ practice, can keep track of approx's in controlled manner while highlighting key physical intuition

⇒ enables progress in more complicated settings where exact results for  $H(z, t)$  not available (e.g. in PSET 3)

⇒ start by revisiting simple Gaussian random walk

$$\frac{dx}{dt} = \mu + \sqrt{\sigma^2} \eta(t) \longrightarrow \text{solution } x(t) = \mu t + \sqrt{\sigma^2 t} Z \quad Z \sim N(0,1)$$

⇒ Q: when are stochastic vs deterministic effects dominant?



⇒ stochastic term always dominant @ short times

⇒ deterministic term always dominant @ long times ( $\mu \neq 0$ )

⇒ crossover @  $t^*$  where

$$\mu t^* = \sqrt{\sigma^2 t^*} \Rightarrow t^* = \frac{\sigma^2}{\mu^2}$$

mostly deterministic ( $x \approx \mu t + \epsilon$ ) when  $t \gg t^*$

mostly stochastic ( $x \approx \sqrt{\sigma^2 t} Z + \epsilon$ ) when  $t \ll t^*$

Now return to evolution problem:

$$\frac{df}{dt} = sf + \sqrt{\frac{f}{N}} \eta(t) \quad \Leftrightarrow \quad f(t+\delta t) = f(t) + \underbrace{sf(t)\delta t}_{\Delta f_{sel}} + \underbrace{\sqrt{\frac{f(t)\delta t}{N}} Z_t}_{\Delta f_{diff}}$$

$\Rightarrow$  can't apply same approach because deterministic + stochastic terms both depend on  $f(t)$ , which depends on det + stoch terms, etc, etc

$\Rightarrow$  needed to "integrate" SDE (moment eqs,  $H(z,t)$ , etc)  $\Rightarrow$  HARD!!

$\Rightarrow$  Heuristics  $\approx$  way to do this approximately  $\approx$  "poor man's integration"

Basic idea: if interested in logarithmic precision  
"order-of-magnitude"

$$\log f(t) \pm \mathcal{O}(1)$$

$$\log t \pm \mathcal{O}(1)$$

$\Rightarrow$  then short time approx works pretty well until

$$\left[ f(\Delta t) = f(0) + \underbrace{sf(0)\Delta t}_{\Delta f_{sel}} + \underbrace{\sqrt{\frac{f(0)\Delta t}{N}} Z_0}_{\Delta f_{diff}} \right]$$

$\log f(\Delta t) \approx \log(f(0)) \pm \mathcal{O}(1)$

$\Rightarrow$  call this time  $\Delta t_{reset}$ . occurs when  $\log\left(\frac{f(\Delta t)}{f(0)}\right) \approx \pm \mathcal{O}(1)$  [" $\Delta f \sim f$ "]

⇒ @ this point, set  $f(t) = f(\Delta t_{\text{reset}})$  + repeat ...

⇒ iterative method for building up  $f(t)$  for  $t \gg \Delta t_{\text{reset}}$

\* Question then becomes: are deterministic forces (selection)

or stochastic forces (drift)

dominant on timescales  $\sim \Delta t_{\text{reset}}$ ?

$$\Delta f = \underbrace{s f \Delta t}_{\Delta f_{\text{sel}}} + \underbrace{\sqrt{\frac{f \Delta t}{N}} z}_{\Delta f_{\text{drift}}}$$

Approach: guess & check (self consistency)

① if deterministic forces dominant ( $\Delta f_{\text{sel}} \gg \Delta f_{\text{drift}}$ )

⇒ then  $\Delta t_{\text{reset}}$  set by  $\Delta f \sim f$

$$\Rightarrow f \sim |\Delta f| \sim |\Delta f_{\text{sel}}| \sim |s/f| \Delta t_{\text{reset}}$$

$$\Rightarrow \boxed{\Delta t_{\text{reset}} \sim T_{\text{sel}} \equiv \frac{1}{|s|}} \left[ \text{really saying is } \Delta t_{\text{reset}} \sim \frac{c_1}{|s|} \sim \mathcal{O}(1) \text{ const} \right]$$

⇒ on this timescale, contribution from drift is

$$\Delta f_{\text{drift}} = \sqrt{\frac{f \Delta t_{\text{reset}}}{N}} = \sqrt{\frac{f}{N|s|}} \ll \Delta f_{\text{sel}} \sim f$$

$\Rightarrow$  good approx when  $f \gg \frac{1}{N|s|}$  (selection dominant)

$\Rightarrow$  after 1 reset we have:  $\log f(t+\Delta t) = \log f + \underbrace{\mathcal{O}(1)}_{c_2}$

$\Rightarrow$  after  $k$  resets we have:

$$\log f(t) = \log f(0) + c_2 k = \log f(0) + c_3 \cdot s \cdot t$$

$\sim \mathcal{O}(1)$  const.

$\Rightarrow f(t)$  grows exponentially @ rate  $\mathcal{O}(s)$   $\left[ \frac{df}{dt} = sf \right]$

② if stochastic forces dominant ( $\Delta F_{\text{drift}} \gg \Delta F_{\text{sel}}$ )

$$\Rightarrow f \sim |\Delta f| \sim |\Delta F_{\text{drift}}| \sim \sqrt{\frac{f \Delta t_{\text{reset}}}{N}}$$

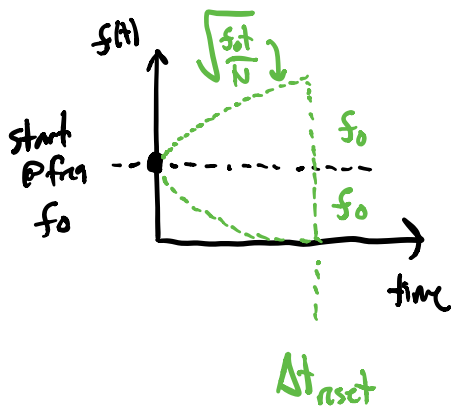
$$\Rightarrow \Delta t_{\text{reset}} \sim T_{\text{drift}} \equiv Nf$$

$\Rightarrow$  contribution from selection is  $|\Delta F_{\text{sel}}| \sim |s| \cdot Nf \cdot f$  ( $\ll \Delta F_{\text{drift}} \sim f$ )

⇒ good approximation when  $f \ll \frac{1}{N|s|}$  (diff dominates)

⇒ not simple random walk because  $\sigma_{\text{eff}}^2 = \frac{f(t)}{N}$

⇒ but can "glue together" several ordinary random walks

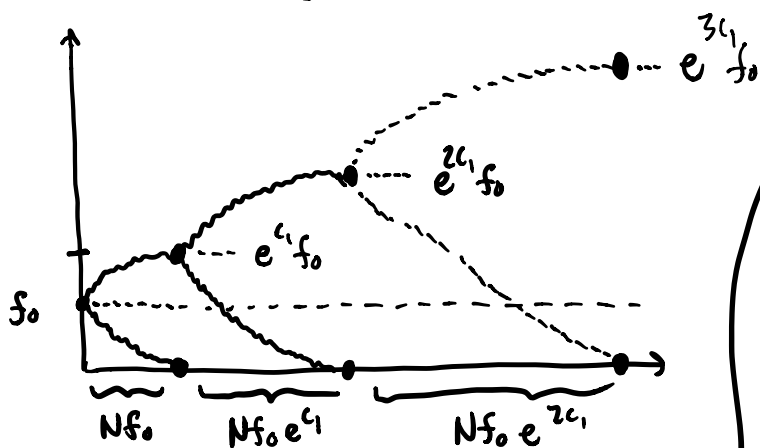


After  $\Delta t_{\text{reset}}$ ,  $f(t) \approx f_0 \pm f_0$   
(decent chance of going extinct)

∴ prob  $\approx e^{-c_1} \sim \mathcal{O}(1)$  factor  $\approx [e.g. \frac{1}{2}]$

mutation is not extinct & must have size  $f \approx \frac{f_0}{e^{-c_1}} = e^{c_1} f_0$

then repeat starting from  $f(0) = e^{c_1} f_0$



After  $k$  iterations

\* prob of survival is  $p_s = e^{-kc_1}$

\* typical size is  $f(t) \approx f_0 e^{c_1 k}$

\* total time elapsed is

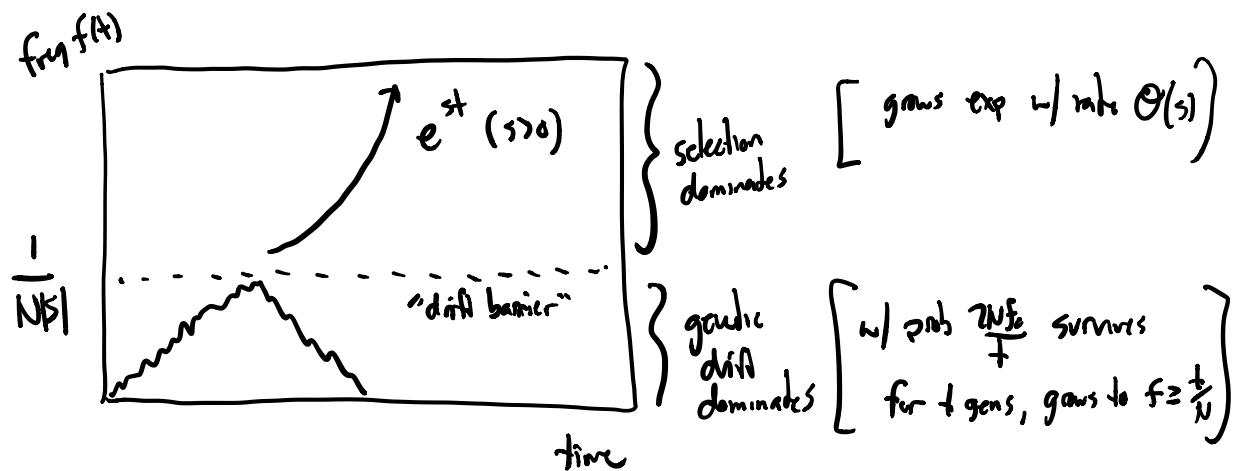
$$t \approx N f_0 + N f_0 e^{c_1} + \dots + N f_0 e^{(k-1)c_1}$$

$$\xrightarrow{k \gg 1} N f_0 e^{c_1 k}$$

Rewriting in terms of time  $t$ : prob of survival is  $\sim \frac{Ns_0}{t}$   
 typical size is  $f(t) \sim \frac{t}{N}$

or in terms of final frequency  $f(t) \equiv f$ :

w/ prob  $\sim \frac{s_0}{f}$ , drifts to size  $\sim f$  on timescale  $t \sim Nf$



$\Rightarrow$  Heuristic approach assumes that boundary is sharp ("patching")

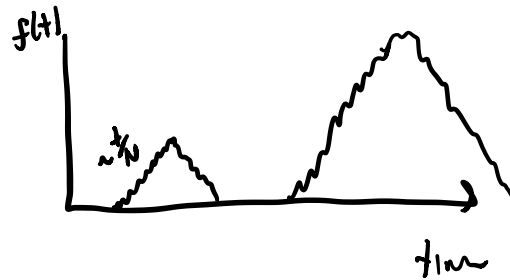
① For beneficial mutations: drifts to size  $\sim \frac{1}{Ns}$   
 with probability  $\sim \frac{s}{Ns} \sim s$

$\Rightarrow$  takes  $\sim \frac{1}{s}$  gens to do so  $\Rightarrow$  then grows exp @ rate  $O(s)$

② For deleterious mutations: drifts to  $\sim \frac{1}{N|s|}$  w/ prob  $\sim |s|$

$\Rightarrow$  prob of survival is  $p_s(t) \sim |s| e^{-|s|t} \rightarrow 0$

③ Neutral mutations

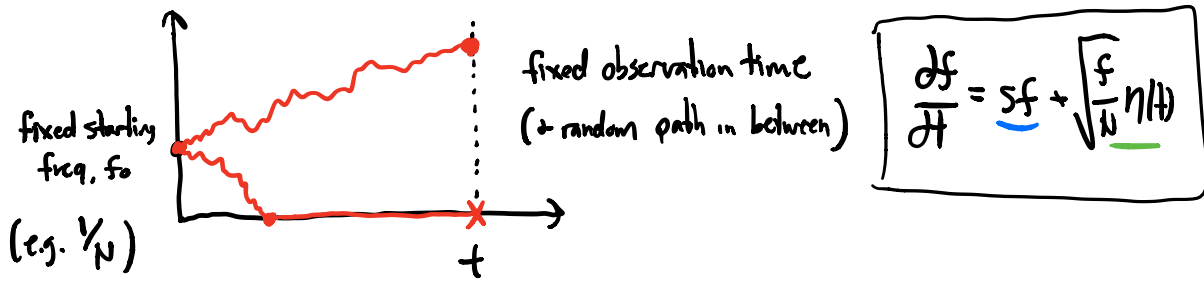


$\Rightarrow$  "triangles" w/ height  $t/N$ , width  $t$ , prob  $\sim \frac{1}{4t}$

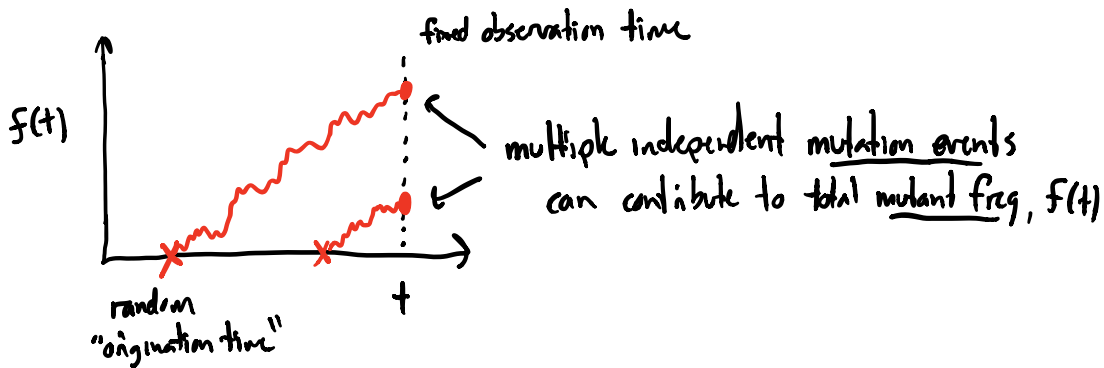


# Incorporating spontaneous mutations

⇒ so far, have focused on scenarios of the form:



⇒ in practice, often more interested in scenarios like!



LBP w/ mutation:

$$\frac{df}{dt} = \mu + sf + \sqrt{\frac{f}{N}}\eta(t)$$

- or -

$$f(t) = \int_0^t dt_0 \sum_{i=0}^{\Theta(t_0)} f_i(t | f(t_0) = 1/N)$$

*~ Poisson(Nμ)*

$$\frac{df_i}{dt} = sf_i + \sqrt{\frac{f_i}{N}}\eta(t)$$

⇒ can solve w/ method of characteristics ( $H(z, t)$ ) p. 3 of notes

$\Rightarrow$  solution  $f(t)$  is Gamma distribution w/ shape  $\alpha = 2N\mu$   
 $f_{\max} \equiv f_s(t) = \frac{e^{st}-1}{2N\mu}$

$$p(f,t)df \propto \left(\frac{f}{f_{\max}}\right)^{2N\mu-1} e^{-f/f_{\max}}$$

$\hookrightarrow$  \* dynamic version of mutation-selection-drift balance \*

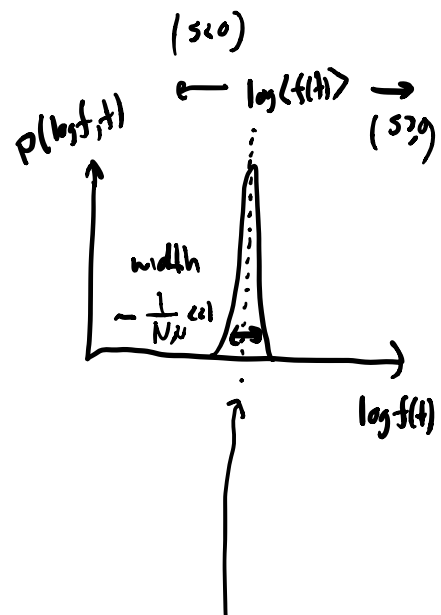
what does this look like?

$\Rightarrow$  from Wikipedia:  $\langle f(t) \rangle = \alpha f_{\max} = \frac{N}{s} (e^{st} - 1)$

$$\text{Var}(f(t)) = \alpha f_{\max}^2 = \frac{1}{2N\mu} \langle f(t) \rangle^2$$

$$\Rightarrow C_V^2(t) = \frac{\text{Var}(f)}{\langle f \rangle^2} = \frac{1}{2N\mu}$$

case 1 when  $N\mu \gg 1$ , dist'n is strongly peaked around  $\langle f(t) \rangle$



e.g. for deleterious mutations ( $s < 0$ )

$$\Rightarrow \langle f(t) \rangle = \frac{N}{s} (e^{st} - 1) = \frac{N}{|s|} (1 - e^{-|s|t}) \rightarrow \frac{N}{|s|} \equiv \bar{f}$$

deterministic  
mut.-sel balance.

can understand from:

$$f(t) \approx \int_0^t dt_0 \underbrace{\Theta(t_0)}_{\text{ss}} \times \left[ \frac{1}{\Theta(t_0)} \sum_{i=1}^{\Theta(t_0)} f_i(t) \mid f_i(t_0) = \frac{1}{N} \right]$$

law of  
large #s:

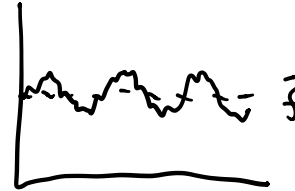
$$\approx N/N \times \approx \langle f_i(t) \rangle = \frac{1}{N} e^{s(t-t_0)}$$

$\Rightarrow$  can calculate spread w/ perturbative approach:

$$\text{Let } f(t) = \bar{f} + \delta f(t) \Rightarrow \text{plug into SDE:}$$

$$\frac{d(\bar{f} + \delta f(t))}{dt} = \mu - |s|(\bar{f} + \delta f(t)) + \sqrt{\frac{(\bar{f} + \delta f)}{N}} \eta(t)$$

$\Rightarrow$  Taylor expand in  $\delta f(t) \ll \bar{f}$ :

$$\Rightarrow \frac{d\delta f}{dt} = -|\lambda| \delta f + \sqrt{\frac{\bar{f}}{N}} \eta(t)$$


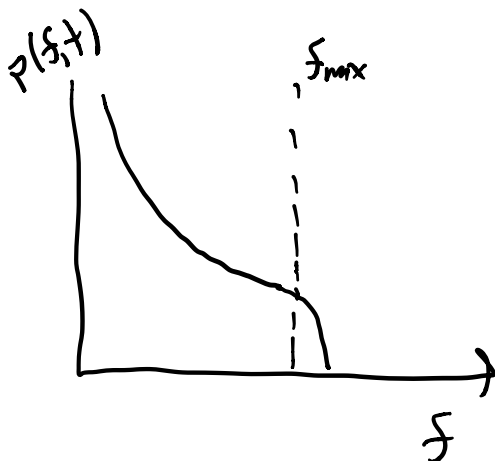
$\Rightarrow$  same as classic "Brownian particle in quadratic potential"

$$\omega / \bar{x} = 0, \quad r = |\lambda|, \quad D = \frac{\bar{f}}{N}$$

$$\Rightarrow \text{Var}(\delta f) \approx \sqrt{\frac{D}{r}} \sim \frac{\bar{f}^2}{N\lambda} \quad \checkmark$$

case 2 when  $N\lambda \ll 1 \Rightarrow$  dist'n of  $f$  is very broad

$$p(f,t) \approx e^{-2N\lambda f} e^{-f/f_{\max}} \approx N\lambda f^{-1} e^{-f/f_{\max}}$$



$\Leftrightarrow$

